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On the quark-gluon vertex and quark-ghost kernel: combining lattice simulations with Dyson-Schwinger equations

E. Rojas,^a J.P.B.C. de Melo,^a B. El-Bennich,^a O. Oliveira^{b,c} and T. Frederico^c

E-mail: eduardo.rojas@cruzeirodosul.edu.br,

joao.mello@cruzeirodosul.edu.br, bruno.bennich@cruzeirodosul.edu.br, orlando@teor.fis.uc.pt, tobias@ita.br

ABSTRACT: We investigate the dressed quark-gluon vertex combining two established nonperturbative approaches to QCD: the Dyson-Schwinger equation (DSE) for the quark propagator and lattice-regularized simulations for the quark, gluon and ghost propagators. The vertex is modeled using a generalized Ball-Chiu ansatz parameterized by a single form actor \tilde{X}_0 which effectively represents the quark-ghost scattering kernel. The solution space of the DSE inversion for \tilde{X}_0 is highly degenerate, which can be dealt with by a numerical regularization scheme. We consider two possibilities: (i) linear regularization and (ii) the Maximum Entropy Method. These two numerical approaches yield compatible \tilde{X}_0 functions for the range of momenta where lattice data is available and feature a strong enhancement of the generalized Ball-Chiu vertex for momenta below 1 GeV. Our ansatz for the quark-gluon vertex is then used to solve the quark Dyson-Schwinger equation which yields a mass function in good agreement with lattice simulations and thus provides adequate dynamical chiral symmetry breaking.

KEYWORDS: Lattice QCD, Phenomenological Models, QCD

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^aLaboratorio de Física Teórica e Computacional, Universidade Cruzeiro do Sul, São Paulo, 01506-000 SP, Brazil

^bDepartamento de Física, Universidade de Coimbra, 3004-516 Coimbra, Portugal

^cDepartamento de Física, Instituto Tecnológico de Aeronáutica, DCTA 12.228-900, São José dos Campos, SP, Brazil

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1 Introduction and motivation

The strong modification of the dressed gluon and quark propagators from their perturbative form to the infrared (IR) domain ($p^2 \leq 1.2 \,\mathrm{GeV}^2$) in quantum chromodynamics (QCD) has been the object of intense scrutiny and debate over the past decade. This owes to their intimate connection with dynamical chiral symmetry breaking (DCSB) and their eminent role in confinement scenarios. Consider the dressed gluon two-point function in Landau gauge: many large-volume lattice simulations [1–8], solutions of Dyson-Schwinger equations (DSEs) [9–14] and studies within the refined Gribov-Zwanziger approach [15–18] offer strong evidence for their highly nontrivial behavior in the IR, wherefore it is nowadays widely held that the gluon propagator is IR finite. It can be described by a momentumdependent mass function, $m_g^2(k^2)$, whose magnitude in the deep IR is considerable, of the order of 4–16 $\Lambda_{\rm QCD}^2$, whereas it vanishes as $1/k^2$ for $k^2 \gg \Lambda_{\rm QCD}^2$ [19], thereby maintaining full agreement with perturbative QCD. Analogously, for the quark propagator such a non-trivial IR behavior has also been evidenced by the convergence of results on the momentum-dependent wave-function renormalization, $Z(p^2)$, and mass function, $M(p^2)$, with numerical solutions of DSEs and simulations of lattice-regularized QCD [20–23]. The origin of the interaction strength at infrared momenta, which guarantees DCSB through the gap equation for the quark, remains unexplained, yet it is well known that the support of the DSE kernel must exceed a critical value in order to generate a nontrivial solution [24–26].

The DSEs are very sensitive to the details of their kernel, one ingredient of which is the nonperturbative quark-gluon vertex. Since the formidable impact of DCSB on $Z(p^2)$ and $M(p^2)$ is now well established, it is natural to accept that this also be true for the corresponding three-point functions. These functions represent the vertices of a given field theory and the impact of DCSB on them was realized early [29]. Ideally, one would solve the DSE for the gauge-fermion vertex itself [27, 28] but this proves to be a sisyphian task, as it involves the antifermion-fermion scattering kernel whose skeleton expansion contains infinitely many terms; a suitable truncation must be made at an early stage [30]. The simplest truncation is known as Rainbow-Ladder (RL) [31–34], which satisfies the axial-vector Ward-Green-Takahashi Identity (WGTI) [35–37] and thus chiral symmetry, whereby the full quark-gluon vertex is replaced by a bare vertex. Given a model for the nonperturbative gluon propagator, e.g. ref. [33], this truncation corresponds to a single gluon exchange re-summed to all orders, hence the coinage 'rainbow' in the DSE and 'ladder' in the Bethe-Salpeter equation (BSE). In some RL truncation schemes, numerical results for physical quantities of light pseudoscalar, (axial)vector and in particular scalar mesons below 1 GeV are not all in good agreement with experiment [38]. Steps beyond RL have been taken, first neglecting non-Abelian three-gluon interactions [22, 39-43] which have recently been included [44].

Practically tractable approaches to the fermion-gauge boson vertex allow for a suitable ansatz that satisfies fundamental symmetries of QCD, amongst which chiral symmetry via the axial-vector WGTI. These more general ansätze impose constraints of quantum field theory on the vertices, i.e. one insists that the vertex must reduce to the bare vertex γ_{μ} in the large-momentum limit (when dressed propagators can be replaced by bare propagators); it must have the same transformation properties as the bare vertex under charge conjugation C, parity transformation P and time reversal T; it must ensure gauge covariance and invariance; and finally one demands that the vertex must be free of kinematic singularities. Moreover, the nonperturbative quark-gluon vertex can always be decomposed into longitudinal and transverse components [29], $\Gamma_{\mu}(p_1, p_2, p_3) = \Gamma_{\mu}^{L}(p_1, p_2, p_3) + \Gamma_{\mu}^{T}(p_1, p_2, p_3)$, [see eqs. (2.12)–(2.14)].

Amongst the vertex models that are largely consistent with the above constraints is the Ball-Chiu vertex [29], which has been successfully employed in hadron phenomenology (see ref. [45] and references therein). Yet, while this vertex satisfies a WGTI as a consequence of gauge invariance, it says nothing about the transverse part. In fact, by itself it is insufficient to ensure gauge covariance. It can be augmented following Curtis and Pennington [46], which still does not catch the full extent of the nonperturbative dressing of the quark-gluon vertex; e.g., this extension fails to explain the mass splittings between the ρ and a_1 parity

partners. A minimal extension to reach the mass splitting is to include the dressed-quark anomalous chromomagnetic moment in the vertex [47]. Moreover, the RL truncation of the DSE/BSE kernels yields numerical results which underestimate the weak decay constant of $D_{(s)}$ and $B_{(s)}$ mesons by 25–60% [48]. Numerical improvements in heavy-light $Q\bar{q}$ systems with merely a Ball-Chiu ansatz are not expected to remedy this shortcoming [45, 49–51].

Further generalizations of the Curtis-Pennington vertex [46] concentrated on the formulation of the transverse part in Abelian gauge theory [52-54] and during the past decade a general nonperturbative construction of the vertex constrained by one-loop perturbation theory and multiplicative renormalizability was carried out [55-57]. A promising new unified treatment and solution of the longitudinal *and* transverse WGTI for the Abelian quarkgluon vertex [58] confirm these nonperturbative ansätze guided by perturbation theory.

The vertex models just mentioned [29, 46, 52–57] are concerned with Abelian field theory. They do not account for ghost contributions which enter via the fully-dressed quark-gluon vertex. We recall that the QCD vertex satisfies a Slavnov-Taylor identity (STI) [59, 60] which is expressed in terms of the dressed quark propagators, $S(p_1)$ and $S(p_2)$, the ghost dressing function, $F(p_3^2)$, and the quark-ghost scattering kernel, $H(p_1, p_2, p_3)$, (see, e.g., the discussion in refs. [61, 62]). The latter's Dirac structure is composed of four independent form factors: $X_i(p_1, p_2, p_3) \equiv X_i$, i = 0, 1, 2, 3. In the limit within which the ghost dressing function is taken to be unity and all sub-leading contributions in the strong coupling $\alpha_s(k^2)$ are discarded, one essentially recovers the Abelian WGTI. A vertex model which includes the ghost contributions motivated by the STI was put forward in ref. [63], where the Curtis-Pennington vertex is multiplied by a suitable combination of the ghostdressing function and renormalization constants to ensure that the quark's mass function should be independent of the renormalization point and its anomalous dimension recovered in the ultraviolet.

Some of the quark-gluon form factors associated with the longitudinal and transverse components have also been obtained in lattice simulations for different kinematic configurations [64–66]. However, the range of space-like momenta on the lattice is so far limited and does not cover the needs of numerical applications involving the quark-gluon vertex. Lacking more insight from lattice-regularized QCD on these form factors, one must resort to symmetries given by generalized WGTIs and the best possible modeling of the necessary form factors, i.e. the scalar functions, X_i , in the quark-ghost scattering kernel [67] and \tilde{X}_i , in the matrix-valued scalar amplitudes of the transverse WGTI projections [58].

Based on perturbative one-loop calculations [67], Aguilar and Papavassiliou [68, 69] calculated the leading nonperturbative corrections to the longitudinal quark-gluon vertex (the "one-loop dressed" approximation to X_0 , see figure 5) using a particular kinematic configuration. Other configurations are discussed in ref. [70]. Their approximation leads to a "ghost-improved" Ball-Chiu vertex, which partially ties in the non-Abelian contributions to the dressed quark-gluon vertex and bears similarities with the ansatz by Fischer and Alkofer [63]. Upon inserting this vertex into the gap equation, no sufficient DCSB is found. Nonetheless, in order to establish a workable model for the quark-gluon vertex, the substitution, $F(p_3^2) \rightarrow F^2(p_3^2)$, is effectuated in the kernel of the gap equation commensurate with an enhancement of the dressed vertex in the IR domain.

This suggested modification of the kernel accounts for two competing effects: *i*) the approximations made which neglect contributions in the quark-ghost scattering kernel other than X_0 . In particular, the *ansatz* for the leading one-loop dressed expression of $H(p_1, p_2, p_3)$ assumes a simplified form of the dressed quark-gluon vertex, namely a vertex $\Gamma^{\rm L}_{\mu}$ that is ghost-free, F = H = 1. Furthermore, the ghost-gluon vertex is taken at tree-level, which is not warranted [71], and $H(p_1, p_2, p_3)$ is calculated in the chiral limit, $B(p^2) = 0$; *ii*) the transverse piece of the vertex is not taken into account yet has been shown to be crucial for sufficient generation of DCSB [47, 57].

In view of the scarce information on the quark-gluon vertex from first principle calculations, a possible strategy is to combine the different non-perturbative techniques to solve QCD in order to improve our knowledge on this fundamental vertex. Herein, we employ the lattice-QCD data for the dressed-quark functions, $A(p^2)$ and $B(p^2)$ [21, 23], as well as for the gluon and ghost propagators [5, 7], $\Delta(q^2)$ and $F(q^2)$, and numerically extract a momentum-dependent effective function $\tilde{X}_0(q^2) \sim X_0(q^2)$ from the quark gap equation. The quark-gluon vertex built from $X_0(q^2)$ is enhanced in the infrared region and recovers the perturbative behavior as one approaches larger momenta. Furthermore, when re-inserted in the gap equation, this quark-gluon vertex provides the required DCSB to reproduce the mass function, $M(p^2)$, obtained with lattice-regularized QCD but it fails to reproduce the lattice quark wave function for momenta below ~ 700 MeV.

We have organized this paper as follows: we first review the necessary notation and working definitions in section 2. In section 3, we present the numerical state-of-the art results for dressed quark, gluon and ghost propagators from lattice-QCD whose fits serve as input and/or constraint for the inversion of the quark's DSE. The technical aspects of two different and independent inversion methods as well as the related anatomy of the DSE kernels are discussed in section 4, where the two numerical approaches are based on i) linear regularization; and ii) the Maximum Entropy Method. In section 5, we solve the DSE for the quark propagator using the new vertex, obtained with the two numerical procedures, and the lattice gluon and ghost propagator and compared the outcome with the lattice quark running mass and quark wave function. Finally, we close with a comparison of both inversion methods and discuss their strengths and limitations in section 6.

2 Definitions and notation

2.1 Dyson-Schwinger equation

Herewith we set the notation used throughout the paper. We begin with the DSE or gap equation in QCD which describes the nonperturbative quark dressing for a given flavor and is diagrammatically depicted in figure 1:¹

$$S^{-1}(p) = Z_2(i \not p + m^{\text{bm}}) + \Sigma(p^2), \qquad (2.1)$$

¹We employ throughout a Euclidean metric in our notation: $\{\gamma_{\mu}, \gamma_{\nu}\} = 2\delta_{\mu\nu}; \ \gamma_{\mu}^{\dagger} = \gamma_{\mu}; \ \gamma_{5} = \gamma_{4}\gamma_{1}\gamma_{2}\gamma_{3},$ tr $[\gamma_{4}\gamma_{\mu}\gamma_{\nu}\gamma_{\rho}\gamma_{\sigma}] = -4 \epsilon_{\mu\nu\rho\sigma}; \ \sigma_{\mu\nu} = (i/2)[\gamma_{\mu}, \gamma_{\nu}]; \ a \cdot b = \sum_{i=1}^{4} a_{i}b_{i};$ and P_{μ} timelike $\Rightarrow P^{2} < 0.$

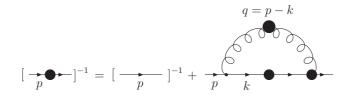


Figure 1. The Dyson-Schwinger equation for the quark. The solid blobs denote dressed propagators and vertices.

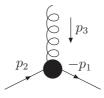


Figure 2. Dressed quark-gluon vertex where the momentum flow indicates that all momenta are incoming.

where the dressed-quark self-energy contribution is,

$$\Sigma(p^2) = Z_1 g^2 \int_k^{\Lambda} \Delta^{\mu\nu}(q) \frac{\lambda^a}{2} \gamma_{\mu} S(k) \Gamma^a_{\nu}(-p,k,q) \,.$$
(2.2)

The mnemonic shorthand $\int_k^{\Lambda} \equiv \int^{\Lambda} d^4k/(2\pi)^4$ represents a Poincaré invariant regularization of the integral with the regularization mass scale, Λ , and $Z_{1,2}(\mu, \Lambda)$ are the vertex and quark wave-function renormalization constants. Owing to the nonperturbative interaction, the current-quark bare mass, $m^{\text{bm}}(\Lambda)$, receives corrections from the self-energy $\Sigma(p^2)$, where the integral is over the dressed gluon propagator, $\Delta_{\mu\nu}(q)$, the dressed quark-gluon vertex, $\Gamma^a_{\nu}(-p, k, q)$, and λ^a are the usual SU(3) color matrices of the fundamental representation. We remind that in Landau gauge the gluon propagator is purely transversal,

$$\Delta^{ab}_{\mu\nu}(q) = \delta^{ab} \left(g_{\mu\nu} - \frac{q_{\mu}q_{\nu}}{q^2} \right) \Delta(q^2) , \qquad (2.3)$$

and the quark-gluon vertex is given by

$$\Gamma^{a}_{\mu}(p_{1}, p_{2}, p_{3}) = g \,\frac{\lambda^{a}}{2} \,\Gamma_{\mu}(p_{1}, p_{2}, p_{3}) \,, \qquad (2.4)$$

where the momenta, p_1, p_2 and p_3 , are defined in figure 2 following the convention of ref. [67]: $p_1 + p_2 + p_3 = 0$; Γ_{μ} represents the Lorentz structure of the vertex.

With this, the solutions to the gap equation (2.1) are of the general form,

$$S(p) = -i \not p \, \sigma_V(p^2) + \mathbb{I}_D \sigma_S(p^2) = \left[i \not p \, A(p^2) + \mathbb{I}_D B(p^2) \right]^{-1}, \tag{2.5}$$

with the renormalization condition,

$$Z(p^2) = 1/A(p^2)\big|_{p^2 = \mu^2} = 1, \qquad (2.6)$$

at large spacelike $\mu^2 \gg \Lambda_{\text{QCD}}^2$. The mass function, $M(p^2) = B(p^2, \mu^2)/A(p^2, \mu^2)$, is independent of the renormalization point μ . In order to make quantitative matching with pQCD, another renormalization condition,

$$S^{-1}(p)\big|_{p^2=\mu^2} = i \not p + m(\mu) \mathbb{I}_D, \qquad (2.7)$$

is imposed, where $m(\mu)$ is the renormalized running quark mass:

$$Z_4(\mu, \Lambda) m(\mu) = Z_2(\mu, \Lambda) m^{\text{bm}}(\Lambda).$$
(2.8)

Herein, Z_4 is the renormalization constant associated with the Lagrangian's mass term. In particular, $m(\mu)$ is nothing else but the dressed-quark mass function evaluated at one particular deep spacelike point, $p^2 = \mu^2$, namely:

$$m(\mu) = M(\mu). \tag{2.9}$$

Additionally, one imposes the conditions,

$$\Delta(q^2)\big|_{q^2=\mu^2} = 1/\mu^2, \tag{2.10}$$

on the gluon-dressing function and,

$$\Gamma_{\mu}(p_1, p_2, p_3) \Big|_{p_1^2 = p_2^2 = p_3^2 = \mu^2} = \gamma_{\mu} , \qquad (2.11)$$

on the quark-gluon vertex.

2.2 The quark-gluon vertex decomposition

The matrix-valued vertex function $\Gamma_{\mu}(p_1, p_2, p_3) = \Gamma^{\rm L}_{\mu}(p_1, p_2, p_3) + \Gamma^{\rm T}_{\mu}(p_1, p_2, p_3)$ can always be decomposed into longitudinal and transverse pieces, the transverse part being defined by

$$p_3^{\mu} \Gamma_{\mu}^{\rm T}(p_1, p_2, p_3) = 0.$$
(2.12)

The full vertex Γ_{μ} can be written in terms of twelve independent tensors and form factors and we shall follow the decomposition by Ball and Chiu [29]:

$$\Gamma^{\rm L}_{\mu}(p_1, p_2, p_3) = \sum_{i=1}^4 \lambda_i(p_1, p_2, p_3) L^i_{\mu}(p_1, p_2), \qquad (2.13)$$

$$\Gamma^{\rm T}_{\mu}(p_1, p_2, p_3) = \sum_{i=1}^{8} \tau_i(p_1, p_2, p_3) T^i_{\mu}(p_1, p_2) \,. \tag{2.14}$$

The longitudinal basis can be written as,

$$L^{1}_{\mu}(p_{1}, p_{2}) = \gamma_{\mu}, \qquad (2.15)$$

$$L^{2}_{\mu}(p_{1}, p_{2}) = (\not p_{1} - \not p_{2})(p_{1} - p_{2})_{\mu}, \qquad (2.16)$$

$$L^{3}_{\mu}(p_{1}, p_{2}) = i (p_{1} - p_{2})_{\mu} \mathbb{I}_{D}, \qquad (2.17)$$

$$L^4_{\mu}(p_1, p_2) = \sigma_{\mu\nu}(p_1 - p_2)^{\nu}, \qquad (2.18)$$

while the transverse basis is given by,

$$T^{1}_{\mu}(p_{1}, p_{2}) = i \left[p_{1\mu}(p_{2} \cdot p_{3}) - p_{2\mu}(p_{1} \cdot p_{3}) \right] \mathbb{I}_{D}, \qquad (2.19)$$

$$T_{\mu}^{2}(p_{1}, p_{2}) = i T_{\mu}^{1}(\not p_{1} - \not p_{2}), \qquad (2.20)$$

$$T^{3}_{\mu}(p_{1}, p_{2}) = p_{3}^{2} \gamma_{\mu} - p_{3\mu} \not p_{3} := p_{3}^{2} \gamma_{\mu}^{T}, \qquad (2.21)$$
$$T^{4}_{\mu}(p_{1}, p_{2}) = -i T^{1}_{\mu}(p_{1}, p_{2}) \sigma_{\mu} \sigma_{\mu}^{\alpha} \sigma_{\mu}^{\beta} \qquad (2.22)$$

$$T^{4}_{\mu}(p_{1}, p_{2}) = -i T^{1}_{\mu}(p_{1}, p_{2}) \sigma_{\alpha\beta} p^{\alpha}_{2} p^{\beta}_{1}, \qquad (2.22)$$

$$T^{\rm s}_{\mu}(p_1, p_2) = \sigma_{\mu\nu} \, p^{\nu}_3 \,, \tag{2.23}$$

$$T^{6}_{\mu}(p_{1}, p_{2}) = -\gamma_{\mu}(p_{1}^{2} - p_{2}^{2}) - (p_{1} - p_{2})_{\mu} \not p_{3}, \qquad (2.24)$$

$$T^{7}_{\mu}(p_{1},p_{2}) = \frac{i}{2}(p_{2}^{2}-p_{1}^{2})[\gamma_{\mu}(\not p_{1}-\not p_{2}) - (p_{1}-p_{2})_{\mu}\mathbb{I}_{D}] + (p_{1}-p_{2})_{\mu}\sigma_{\alpha\beta}\,p_{1}^{\alpha}\,p_{2}^{\beta}\,, \qquad (2.25)$$

$$T^{8}_{\mu}(p_{1}, p_{2}) = i \gamma_{\mu} \sigma_{\alpha\beta} p^{\alpha}_{1} p^{\beta}_{2} - (p_{1\mu} \not\!\!\!/ p_{2} - p_{2\mu} \not\!\!\!/ p_{1}), \qquad (2.26)$$

with $\sigma_{\alpha\beta} = \frac{i}{2} [\gamma_{\alpha}, \gamma_{\beta}]$. The quark-gluon vertex satisfies an STI [59, 60] to which Γ_{μ}^{T} does not contribute,

$$p_3^{\mu} \Gamma_{\mu}(p_1, p_2, p_3) = F(p_3^2) \left[S^{-1}(-p_1) H(p_1, p_2, p_3) - \overline{H}(p_2, p_1, p_3) S^{-1}(p_2) \right], \qquad (2.27)$$

where the ghost-dressing function $F(q^2)$ is defined by the ghost two-point function,

$$D^{ab}(q^2) = -\delta^{ab} \, \frac{F(q^2)}{q^2} \,, \tag{2.28}$$

which is renormalized at $\mu^2 \gg \Lambda_{\rm QCD}$, such that

$$F(q^2)\big|_{q^2=\mu^2} = 1.$$
 (2.29)

The STI relates the quark-gluon vertex with the ghost dressing function, the inverse quark propagator and the quark-ghost scattering kernel parameterized in terms of the matrix-valued function, $H(p_1, p_2, p_3)$, and its conjugate, $\overline{H}(p_1, p_2, p_3)$, (N.B. see ref. [67] for notation and definitions). The decomposition of these two functions in terms of Lorentz covariants requires four different form factors,

$$H(p_1, p_2, p_3) = X_0 \mathbb{I}_D + i X_1 \not\!\!\!/_1 + i X_2 \not\!\!\!/_2 + i X_3 \sigma_{\alpha\beta} p_1^{\alpha} p_2^{\beta}, \qquad (2.30)$$

$$\overline{H}(p_2, p_1, p_3) = \overline{X}_0 \mathbb{I}_D - i \overline{X}_2 \not p_1 - i \overline{X}_1 \not p_2 + i \overline{X}_3 \sigma_{\alpha\beta} p_1^{\alpha} p_2^{\beta}, \qquad (2.31)$$

where $X_i \equiv X_i(p_1, p_2, p_3)$ and $\overline{X}_i \equiv \overline{X}_i(p_2, p_1, p_3)$. Perturbative expressions for the form factors X_i have been computed to one-loop order [67] and yield $X_0 = 1 + \mathcal{O}(g^2)$ and $X_i = \mathcal{O}(g^2), i = 1, 2, 3$. Thus, X_0 is the dominant form factor at large momenta. The STI, as stated in eq. (2.27), relates the longitudinal form factors $\lambda_i(p_1, p_2, p_3)$ to the quark propagator's vector, $A(p^2)$, and scalar, $B(p^2)$, functions [68]:

$$\lambda_1(p_1, p_2, p_3) = \frac{F(p_3^2)}{2} \Big\{ A(p_1^2) \big[X_0 + (p_1^2 - p_1 \cdot p_2) X_3 \big] + A(p_2^2) \big[\overline{X}_0 + (p_2^2 - p_1 \cdot p_2) \overline{X}_3 \big] \\ + B(p_1^2) \big[X_1 + X_2 \big] + B(p_2^2) \big[\overline{X}_1 + \overline{X}_2 \big] \Big\},$$
(2.32)

$$\lambda_2(p_1, p_2, p_3) = \frac{F(p_3^2)}{2(p_2^2 - p_1^2)} \Big\{ A(p_1^2) \big[(p_1^2 + p_1 \cdot p_2) X_3 - X_0 \big] + A(p_2^2) \big[\overline{X}_0 - (p_2^2 + p_1 \cdot p_2) \overline{X}_3 \big] \\ + B(p_1^2) \big[X_2 - X_1 \big] + B(p_2^2) \big[\overline{X}_1 - \overline{X}_2 \big] \Big\},$$
(2.33)

$$\lambda_{3}(p_{1}, p_{2}, p_{3}) = \frac{F(p_{3}^{2})}{p_{1}^{2} - p_{2}^{2}} \Big\{ A(p_{1}^{2}) \Big[p_{1}^{2} X_{1} + p_{1} \cdot p_{2} X_{2} \Big] - A(p_{2}^{2}) \Big[p_{2}^{2} \overline{X}_{1} + p_{1} \cdot p_{2} \overline{X}_{2} \Big] \\ + B(p_{1}^{2}) X_{0} - B(p_{2}^{2}) \overline{X}_{0} \Big\},$$
(2.34)

$$\lambda_4(p_1, p_2, p_3) = \frac{-F(p_3^2)}{2} \left\{ A(p_1^2) X_2 - A(p_2^2) \overline{X}_2 + B(p_1^2) X_3 - B(p_2^2) \overline{X}_3 \right\}.$$
 (2.35)

2.3 The quark-ghost scattering kernel and the Ball-Chiu vertex

The quark-ghost kernel, i.e. the form factors X_i , are only known in pQCD at one-loop order [67]. A nonperturbative model for $H(p_2, p_1, p_3)$ was proposed [68] in which only the perturbatively dominant form factor, X_0 , is retained assuming that it is well approximated by its value at a particular kinematical point. This ansatz for $X_0 = \bar{X}_0 \approx X_0(p_3^2)$ amounts to neglecting the momentum dependence of p_1 and p_2 while keeping the momentum carried by the gluon. In essence, this approximation boils down to simplifying the longitudinal vertex of eqs. (2.32)–(2.35):

$$\lambda_1(p_1, p_2, p_3) = \frac{X_0(p_3^3) F(p_3^2)}{2} \left[A(p_1^2) + A(p_2^2) \right], \qquad (2.36)$$

$$\lambda_2(p_1, p_2, p_3) = \frac{X_0(p_3^3) F(p_3^2)}{2(p_2^2 - p_1^2)} \left[A(p_2^2) - A(p_1^2) \right], \qquad (2.37)$$

$$\lambda_3(p_1, p_2, p_3) = \frac{X_0(p_3^3) F(p_3^2)}{p_1^2 - p_2^2} \left[B(p_1^2) - B(p_2^2) \right], \qquad (2.38)$$

$$\lambda_4(p_1, p_2, p_3) = 0. (2.39)$$

Note that eqs. (2.36)–(2.39) correspond to a Ball-Chiu vertex [29] multiplied by the form factor, $X_0(p_3^2)$, and the ghost-dressing function $F(p_3^2)$:

$$\tilde{\Gamma}^{\rm BC}_{\mu} = X_0(p_3^3) F(p_3^2) \Gamma^{\rm BC}_{\mu}.$$
(2.40)

Turning now to the DSE solutions with the vertex ansatz given by eqs. (2.36)–(2.39), we find $(k = p - q, p_1 = -p, p_2 = k, p_3 = q)$,

$$B(p^2) = Z_2 m^{\text{bm}} + C_F Z_1 g^2 \int_q^{\Lambda} \frac{\mathcal{K}_0(q) \mathcal{K}_B(k, p)}{A^2(k^2) k^2 + B^2(k^2)}, \qquad (2.41)$$

$$p^{2}A(p^{2}) = Z_{2}p^{2} + C_{F}Z_{1}g^{2}\int_{q}^{\Lambda} \frac{\mathcal{K}_{0}(q)\mathcal{K}_{A}(k,p)}{A^{2}(k^{2})k^{2} + B^{2}(k^{2})}, \qquad (2.42)$$

where $C_F = 4/3$ is the Casimir invariant of the fundamental representation and we have used the following shorthands:

$$\mathcal{K}_0(q) = \Delta(q^2) F(q^2) X_0(q^2), \qquad (2.43)$$

$$\mathcal{K}_{A}(k,p) = \frac{1}{2} A(k^{2}) \left[A(k^{2}) + A(p^{2}) \right] \left(3k \cdot p - 2h(k,p) \right) - 2B(k^{2}) \Delta B(k^{2},p^{2}) h(k,p) -A(k^{2}) \Delta A(k^{2},p^{2})(k^{2}+p^{2})h(k,p) , \qquad (2.44)$$

$$\mathcal{K}_B(k,p) = \frac{3}{2} B(k^2) \left[A(k^2) + A(p^2) \right] + 2h(k,p) \left[B(k^2) \,\Delta A(k,p) - A(k^2) \,\Delta B(k,p) \right], \quad (2.45)$$

and moreover,

$$\Delta A(k,p) = \frac{A(k^2) - A(p^2)}{k^2 - p^2}, \qquad (2.46)$$

$$\Delta B(k,p) = \frac{B(k^2) - B(p^2)}{k^2 - p^2}, \qquad (2.47)$$

$$h(k,p) = \frac{k^2 p^2 - (k \cdot p)^2}{q^2}.$$
(2.48)

Note that we have performed a change of variable from the loop-quark momentum, k, to the gluon momentum, q, in the integrals of eqs. (2.41) and (2.42). These DSEs are our starting point for a numerical extraction of X_0 using the lattice-regularized quark, gluon and ghost propagators as inputs. Thus, X_0 includes contributions from X_i , i = 1, 2, 3, as well as from the transverse component of the vertex. We shall thenceforth refer to an effective form factor \tilde{X}_0 .

In [68] the authors propose a nonperturbative estimation of X_0 based on the solution of the integral equation given by the 'one-loop-dressed' approximation, see figure 5. Their approximation only takes into account $X_0 \approx X_0(p_3^2)$ in eqs. (2.36)–(2.39) and does not provide the IR strength required in the gap equation to generate the expected DCSB. However, a nonperturbative solution of the gap equation compatible with DCSB and the asymptotic limit of the mass function, $M(p^2)$, was found *if* the replacement, $Z_c^{-1}\mathcal{K}_0(q^2) \rightarrow$ $F(q^2)\mathcal{K}_0(q^2)$, or equivalently, $F(q^2) \rightarrow F^2(q^2)$, is effectuated in eqs. (2.41) and (2.42), where Z_c is the ghost-function renormalization constant and $\mathcal{K}_0(q)$ is defined in eq. (2.43). With this alteration of the gap equation's kernel, a mass-function value of the order $M(0) \approx$ 300 MeV was obtained but both the pion decay constant, f_{π} , and the quark condensate, $\langle \bar{q}q \rangle$, were underestimated by ~ 20% and ~ 10%, respectively.

We mention that, with respect to the approximation just discussed, various kinematic configurations of $H(p_1, p_2, p_3)$ have also been investigated [69, 70]. Of course, the approach to the quark-ghost kernel can be amended in various ways. For example, to compute X_0 with the integral equation represented diagramatically in figure 5 one can replace the treelevel ghost-gluon vertex employed in ref. [68] by the dressed one [71–73]. We have checked this possibility which enhances the quark-gluon vertex but is not sufficient to generate $M(0) \approx 300$ MeV.

3 The lattice propagators

In this section we discuss the parameterizations of the numerical lattice results for the dressed gluon, ghost and quark propagators which will be used to solve the DSE inversion. Prior to describing our choice of lattice-propagator data, we would like to remind that there exists no complete calculation in the literature using the same set of configurations, i.e. the same set of lattice actions, lattice parameters and quark masses.

3.1 The quenched gluon and ghost propagators

For the gluon and ghost propagators we use the lattice data from ref. [5] generated with $\beta = 5.7$ and the SU(3) Wilson action. These lattice simulations do not include quark contributions. As discussed in ref. [8], taking into account the fermionic degrees of freedom suppresses the gluon propagator, $\Delta(q^2)$, for momenta $\leq 1 \text{ GeV}$. If one takes into account the fermionic degrees of freedom, $\Delta(q^2)$ is suppressed in the IR domain by about $\sim 20\%$ with respect to the quenched result but remains essentially unchanged above 1 GeV. On the other hand, the dynamical and quenched ghost propagators are about the same within a few percent. From the point of view of the form factor $\tilde{X}_0(q^2)$, replacing the quenched gluon propagator $\Delta(q^2)$ by the dynamical gluon propagator $\Delta_{dyn.}(q^2)$ in the DSE is equivalent to rescaling the form factor $\tilde{X}_0(q^2)$ by the momentum-dependent function $\Delta(q^2)/\Delta_{dyn.}(q^2)$ which enhances the quark-gluon vertex even further in the IR.

Our choice for the quenched data is motivated by the larger physical volume at which the simulation was performed. Such a large volume gives access to smaller momenta in the IR, which it resolves with more details. The results in ref. [5] are also in good agreement with the ones on an enormous lattice volume for the SU(2) gauge group [75] and corroborated by other large-volume SU(3) simulations, see ref. [7] and references therein.

The lattice propagators $\Delta(q^2)$ and $F(q^2)$ were renormalized at $\mu = 4.3 \,\text{GeV}$. In order to solve the DSEs, we use the parameterizations discussed in ref. [68] for the gluon,

$$\Delta^{-1}(q^2) = m_{gl}^2(q^2) + q^2 \left[1 + \frac{13 C_A g_1^2}{96\pi^2} \ln\left(\frac{q^2 + \rho_1 m_{gl}^2(q^2)}{\mu^2}\right) \right]$$
(3.1)

with

$$n_{gl}^2(q^2) = \frac{m^4}{q^2 + \rho_2 m^2}, \qquad (3.2)$$

where m = 520 MeV, $\rho_2 = 1.91$, $C_A = 3$, $g_1^2 = 5.68$, $\rho_1 = 8.55$ and $\mu = 4.3 \text{ GeV}$, and

$$F^{-1}(q^2) = 1 + \frac{9C_A g_2^2}{192\pi^2} \ln\left(\frac{q^2 + \rho_3 m_{gh}^2(q^2)}{\mu^2}\right)$$
(3.3)

for the ghost-dressing function with

$$m_{gh}^2(q^2) = \frac{m^4}{q^2 + \rho_4 m^2} \tag{3.4}$$

and $g_2^2 = 8.57$, $\rho_3 = 0.25$, $\rho_4 = 0.68$. The quality of the fits can be appreciated in figure 5 of ref. [68].

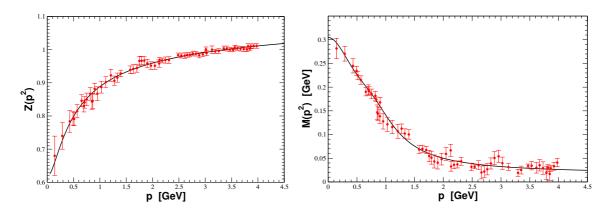


Figure 3. Fits to the lattice-regularized QCD data [21]. The left and right plots refer to the quark wave function and mass function, respectively.

3.2 The dynamical quark propagator

Lattice quark propagators in Landau gauge have been studied taking into account light seaquark degrees of freedom [21, 23]. In the following, we only consider the data of ref. [21], which corresponds to a lattice simulation with 2 + 1 flavors of dynamical quarks. The simulation was performed using the improved staggered Asqtad action at $\beta = 7.09$ and, of the available bare-quark mass sets, we choose the one closest to the chiral limit.

A fit of the quark wave function to

$$Z(p^2) = z \left(1 + \log(p^2 + M^2)\right)^c \tag{3.5}$$

yields χ^2 /d.o.f. = 0.35 and z = 0.8576(33), $M^2 = 0.395(19) \text{ GeV}^2$, c = 0.1235(33). The fit of the quark mass function to the following parametrization,

$$M(p^2) = \frac{\hat{m}_q(p^2)}{\left[A + \ln\left(q^2 + \rho \,\hat{m}_q^2(q^2)\right)\right]^{\gamma_m}},\tag{3.6}$$

where

$$\hat{m}_q(p^2) = \frac{\hat{m}_q^3}{p^2 + \lambda_q^2} + m_0 \tag{3.7}$$

and $\gamma_m = 12/29$ is the quark anomalous dimension for $N_f = 2,^2$ yields $\chi^2/\text{d.o.f.} = 0.86$. The parameter values are $\hat{m}_q^3 = 0.121(11) \text{ GeV}^3$, $\lambda_q^2 = 0.311(49) \text{ GeV}^2$, $m_0 = 0.0343(27) \text{ GeV}$, A = 0.294(80) and $\rho = 38.9 \pm 4.8$. Note that eq. (3.6) is essentially the parametrization that describes the quark mass function from the lattice [23] corrected by a logarithmic function to reproduce the large-momentum pQCD behavior of $M(p^2)$. The lattice-data points and fits are presented in figure 3.

The gluon and ghost propagators introduced in section 3.1 have been renormalized at $\mu = 4.3 \,\text{GeV}$, whereas the quark lattice data is limited to an upper value of $p = 4 \,\text{GeV}$.

²We have fitted the lattice data using $N_f = 3$ and $N_f = 2$. The parameters as well as the plots are rather similar. However with $N_f = 3$, M(0) is slightly enhanced and the mass functions displays a slight additional curvature about $|p| \sim 800$ MeV.

In order to be consistent with the renormalization conditions, eqs. (2.6), (2.7), (2.10) and (2.29), and to be able to compare our results with ref. [68], we have to assume that the fits to $Z(p^2)$ and $M(p^2)$ still describe their correct behavior above 4 GeV. The normalization condition in eq. (2.6) requires a rescaling of $Z(p^2)$, which implies setting z = 0.8443 in eq. (3.5) instead of the previously quoted value.

4 \tilde{X}_0 from Dyson-Schwinger equations

The DSE expressed in eqs. (2.41) and (2.42) is a set of inhomogeneous Fredholm type integral equations of the first kind; see e.g. ref. [74]. Typically, this class of integral equations does not possess an invertible kernel and eqs. (2.41) and (2.42) are no exception. In order to find a solution, i.e. to extract \tilde{X}_0 from the DSE, the equations have to be regularized. We call the reader's attention that this regularization is *by no means* related to the more fundamental problem of renormalization of quantum field theories. In the following sections, we shall discuss two different and independent inversion methods and their corresponding solutions of the linear system of integral equations, eqs. (2.41) and (2.42), namely: *i*) linear regularization and the *ii*) Maximum Entropy Method.

For the inversion process, the kernels of the integral equations are built using the fits to the lattice data, i.e. employing eqs. (3.1), (3.3), (3.5) and (3.6). The measure for the quality of the $\tilde{X}_0(q^2)$ solution is the χ^2 -comparison of $Z(p^2)$ and $M(p^2)$ (obtained from the DSE with exactly this \tilde{X}_0 reinserted) with the corresponding lattice data values [21]. For the range of momenta where the lattice quark propagator is available, i.e. from ~ 120 MeV up to ~ 4 GeV, the $\tilde{X}_0(p^2)$ computed with these two methods are compatible within error estimates.

The computation of \tilde{X}_0 requires the definition of Z_1 , Z_2 , the current quark mass and the strong coupling constant. Before going into the details of the numerical inversion, let us describe how we determine these numbers. For the strong coupling constant we take $\alpha_s(\mu^2) = g^2(\mu^2)/4\pi = 0.295$ [76, 77] at $\mu = 4.3$ GeV. Note that this is not the usual value, $\alpha_s(4.3 \text{ GeV}) = 0.22$, but our choice for the strong coupling constant will allow for a direct comparison with the results of ref. [68, 69]. In what concerns \tilde{X}_0 , a different definition for $\alpha(\mu)$ requires, accordingly, the rescaling of \tilde{X}_0 , i.e. our \tilde{X}_0 should be multiplied by 1.34 to convert to the form factor at the right scale. On the other hand, the renormalization constant Z_1 always appears associated with the form factor \tilde{X}_0 . Thus, in the inversion of the DSE we always compute the product, $Z_1\tilde{X}_0$, and therefore do not need to define Z_1 . Henceforth, whenever we refer to \tilde{X}_0 we imply $Z_1\tilde{X}_0(q^2)$.

The quark wave function renormalization constant Z_2 can be computed using the r.h.s. of eq. (2.42) and requiring the condition in eq. (2.6) to be satisfied exactly at the renormalization point. We furthermore impose the renormalization condition (2.7) where we are constrained by the lattice-data points [21]. Thus, at $\mu = 4.3 \text{ GeV}$ we set:

$$m(\mu) \equiv \frac{B_{\text{Latt.}}(\mu^2)}{A_{\text{Latt.}}(\mu^2)} = 25.2 \,\text{MeV}\,; \qquad A(\mu^2) \equiv A_{\text{Latt.}}(\mu^2) = 1\,.$$
(4.1)

4.1 \tilde{X}_0 from linear regularized Dyson-Schwinger equations

The integrals in eqs. (2.41) and (2.42) can be performed using a Gauss-Legendre quadrature. The integral equations are thus transformed into a linear system of (coupled) equations which proves to be ill-defined, as the matrix to be inverted has vanishing or very small eigenvalues. However, if the linear system is regularized one can extract \tilde{X}_0 and, when reinserted in the DSE, check whether its solution is compatible with the quark propagator from the lattice. In this section, we consider a linear type of regularization.

Let us for the moment ignore the contribution of the quark mass³ and write eq. (2.41) in form of a matrix equation,

$$B = \overline{\mathcal{K}}_B \, \tilde{X}_0 \,, \tag{4.2}$$

where from now on we absorb the factor $C_F g^2 \mathcal{K}_0(q) [A^2(k^2) k^2 + B^2(k^2)]^{-1}$ into the kernel, $\overline{\mathcal{K}}_B$. This equation can be re-expressed as,

$$\tilde{X}_0 = \frac{B}{\epsilon} + \tilde{X}_0 - \frac{\overline{\mathcal{K}}_B}{\epsilon} \tilde{X}_0, \qquad (4.3)$$

which defines the following sequence of "pseudo-solutions" $X_0^{(i)}$ with i = 0, 1, ..., N as

$$\tilde{X}_{0}^{(i+1)} = \frac{B}{\epsilon} + \tilde{X}_{0}^{(i)} - \frac{\overline{\mathcal{K}}_{B}}{\epsilon} \tilde{X}_{0}^{(i)}, \qquad (4.4)$$

The iterative process is initialized setting $\tilde{X}_0^{(0)} = 1$ and stopped whenever $|\tilde{X}_0^{(N+1)} - \tilde{X}_0^{(N)}|$ is of the order of the machine precision. In the following, we consider a constant regularization parameter ϵ .

The DSE of eqs. (2.41) and (2.42) can be inverted by means of two different regulators, ϵ_A and ϵ_B . However, instead of computing two independent iterations,

$$\widetilde{X}_{0A}^{(i)} \longrightarrow \text{from eq. } (2.42),$$

 $\widetilde{X}_{0B}^{(i)} \longrightarrow \text{from eq. } (2.41),$

we set, at each step,

$$\tilde{X}_{0}^{(i+1)} = \frac{1}{2} \left(\tilde{X}_{0A}^{(i)} + \tilde{X}_{0B}^{(i)} \right), \qquad (4.5)$$

to solve simultaneously both equations. With this superposition, we hope to suppress undesirable effects arising from the kernels' zero modes.

The angular integration was performed with 500 Gauss-Legendre points and we checked the numerical stability of the integration. For the integration over the momenta, we increased the number of Gauss-Legendre points until reaching a stable answer for \tilde{X}_0 . We observe no change in the numerical output for a number of integration points above 100. However, all the numerical results reported here were computed taking 250 Gauss-Legendre points.

³For the computation of \tilde{X}_0 we use $Z_2 m^{\text{bm}} = 14 \text{ MeV}$. Note, however, that the inversion is not sensitive to $7 \leq Z_2 m^{\text{bm}} \leq 20 \text{ MeV}$.

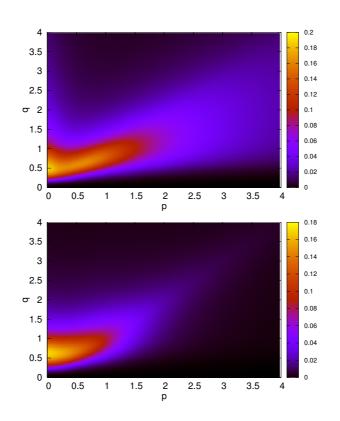


Figure 4. The kernels $\overline{\mathcal{K}}_A(p,q)/p^2$ of eq. (2.44) (upper graph) and $\overline{\mathcal{K}}_B(p,q)$ of eq. (2.45) (lower graph) computed with fits to the lattice-quark propagators given by eqs. (3.5) and (3.6).

4.1.1 The kernels of the Dyson-Schwinger equations

The inversion of the DSE does not necessarily provide a reliable evaluation of the form factor \tilde{X}_0 over the full momentum range of available lattice data. In discretized form, the integrals in eqs. (2.41) and (2.42) are given by,

$$B(p^2) = Z_2 m^{\text{bm}} + \overline{\mathcal{K}}_B(p, q_i) \tilde{X}_0(q_i), \qquad (4.6)$$

$$A(p^{2}) = Z_{2} + \overline{\mathcal{K}}_{A}(p,q_{i}) \tilde{X}_{0}(q_{i})/p^{2}, \qquad (4.7)$$

where a sum \sum_{i} over q_i is implicit. Note that the kernels, $\overline{\mathcal{K}}_A$ and $\overline{\mathcal{K}}_B$, now include the Gauss-Legendre quadrature weights. Computed using the fits to the lattice-values for $A(p^2)$ and $B(p^2)$, they are graphically displayed in figure 4 as functions of p and q. In fact, figure 4 clearly implies that the major contribution of \tilde{X}_0 to the DSE comes from the momentum range, $\sim 0.2 \text{ GeV} < q < \sim 1.5 \text{ GeV}$, whereas momenta above 4 GeV and below $\sim 100 \text{ MeV}$ are negligible. It follows that the inversion provides some reliable information on the longitudinal component of the quark-gluon vertex in the region $\sim 0.1-4 \text{ GeV}$, yet this is not true for \tilde{X}_0 in the deep IR and UV domains.

We recall that the lattice data ranges from 141 MeV up to 4 GeV for the quark propagator and up to 4.5 GeV for the gluon and ghost propagators. Furthermore, our choice of renormalization point is $\mu = 4.3$. This value of μ enables a direct comparison of our results with the non-perturbative modeling of $X_0(q^2)$ obtained in ref. [68].

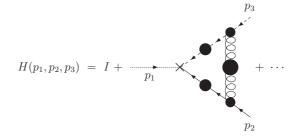


Figure 5. The quark-ghost kernel: one-loop dressed approximation.

The functional behavior of the kernel also helps us to define an ultraviolet cutoff, Λ , in the numerical evaluation of the integrals in eqs. (2.41) and (2.42). Given that momentum contributions, $q \gtrsim 4 \text{ GeV}$, are subleading at the most, we consider inversions with the cutoffs $\Lambda = 5$, 6 and 7 GeV.

4.1.2 The form factor \tilde{X}_0

The solutions for \tilde{X}_0 about to be discussed assume a particular value for $\tilde{X}_0(\Lambda^2)$. Since we are limited by the available momentum range of the lattice propagators, we resort to theoretical constraints which we impose on $\tilde{X}_0(q^2)$ in the UV. In order to make a connection with the perturbative result, we use the one-loop dressed approximation for the quark-ghost scattering kernel, see figure 5, and improve the approximation discussed in ref. [68]. Indeed, $H(p_1, p_2, p_3)$ is computed solving self-consistently the diagram in figure 5 for $X_0(p^2)$ and replacing the tree level gluon-ghost vertex by a dressed vertex [71], which is parametrized by the form factor,

$$H_1(x) = c \left(1 + \frac{a^2 x^2}{x^4 + b^4} \right) + (1 - c) \frac{w^4}{w^4 + x^4}, \qquad (4.8)$$

with c = 1.26, $a = 0.80 \,\text{GeV}$, $b = 1.3 \,\text{GeV}$ and $w = 0.65 \,\text{GeV}$. Therefore, $\tilde{X}_0(p^2)$ is given by the solution of the following integral equation:

$$X_{0}(p^{2}) = \frac{1}{4} \operatorname{Tr}_{CD} H(-p/2, -p/2, p)$$

$$= 1 + \frac{C_{F}g^{2}}{8} \int_{k}^{\Lambda} \left[p^{2} - \frac{(k \cdot p)^{2}}{k^{2}} \right] \frac{\Delta(k)F(k+p/2)F(k)}{(k+p/2)^{2}} \times \frac{A(k+p)\left[A(k+p) + A(p)\right]H_{1}((k+p)^{2})X_{0}(k^{2})}{A^{2}(k+p)(k+p)^{2} + B^{2}(k+p)} .$$
(4.9)

The replacement of the tree-level ghost-gluon vertex by a dressed vertex enhances X_0 by about 20% and is momentum dependent; see figure 6. However, even so this enhancement does not produce sufficient DCSB for a constituent quark mass of the expected order of magnitude, i.e. $M(0) \approx 300$ MeV.

4.1.3 Inversion solutions for \tilde{X}_0

The above procedure prescribes a normalization for \tilde{X}_0 at the cutoff which is $\tilde{X}_0(\Lambda^2) = 1.030$ for $\Lambda = 5 \text{ GeV}$, $\tilde{X}_0(\Lambda^2) = 1.024$ for $\Lambda = 6 \text{ GeV}$ and $\tilde{X}_0(\Lambda^2) = 1.021$ for $\Lambda = 7 \text{ GeV}$.

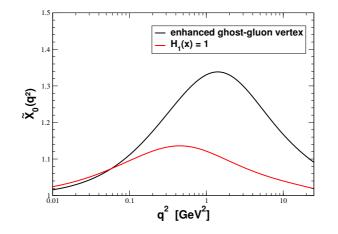


Figure 6. The theoretical dressed one-loop evaluation of the form factor $\tilde{X}_0(p^2)$; see text for details.

As mentioned previously, we judge the quality of the $\tilde{X}_0(q^2)$ solution via a χ^2 -comparison of $A(p^2)$ and $B(p^2)$ (in whose expressions $\tilde{X}_0(q^2)$ enters) and therefore $Z(p^2) = 1/A(p^2)$ and $M = B(p^2)/A(p^2)$ with the corresponding lattice values. We thus evaluate the following $\chi^2/d.o.f.$:

$$\chi_Z^2 = \sum_i \frac{\left|Z(p_i^2) - Z_{\text{Latt.}}(p_i^2)\right|^2}{\sigma_Z^2(p_i^2)}, \qquad (4.10)$$

$$\chi_M^2 = \sum_i \frac{\left| M(p_i^2) - M_{\text{Latt.}}(p_i^2) \right|^2}{\sigma_M^2(p_i^2)}, \qquad (4.11)$$

$$\chi^2 = \chi_Z^2 + \chi_M^2 \,. \tag{4.12}$$

The momenta p_i^2 are those corresponding to the lattice data and $\sigma_{Z,M}(p_i^2)$ denotes the statistical errors. The above quantities are normalized to the number of data points. The various $\chi^2/d.o.f.$ values for $\Lambda = 5 \text{ GeV}$ as a function of the regularization parameters ϵ_A and ϵ_B are shown in figure 7.

The quark wave function is relatively insensitive to the values chosen for ϵ_A and ϵ_B . Indeed, changing both regularization parameters by two orders of magnitude results in acceptable values for $\chi_Z^2/d.o.f.$ The inversions with $\chi_Z^2/d.o.f. \approx 1$ always feature $\epsilon_A > \epsilon_B$. On the other hand, the minimization of $\chi_M^2/d.o.f.$ clearly prefers $\epsilon_A \gg \epsilon_B$ and favors smaller ϵ_B values. A too large regularization parameter ϵ_B in the $B(p^2)$ equation significantly increases $\chi_M^2/d.o.f.$, in other words the confidence level of the "fit" is low. The upper plot in figure 7 shows the combined $\chi^2/d.o.f.$ as defined in eq. (4.12). Good solutions, in the sense of having $\chi^2/d.o.f. \approx 1$, point towards small ϵ_B values and are less sensitive to the choice of ϵ_A .

For $\Lambda = 5 \text{ GeV}$, we consider two different solutions: *i*) the solution with minimal $\chi^2/\text{d.o.f.}$, which occurs for $\epsilon_A = 70.1$, $\epsilon_B = 2.1$ and whose quality is expressed by $\chi^2/\text{d.o.f.} = 1.536$, $\chi^2_Z/\text{d.o.f.} = 1.642$, $\chi^2_M/\text{d.o.f.} = 1.427$; *ii*) the solution with the smallest regularization parameters but similar $\chi^2/\text{d.o.f.}$, i.e. $\epsilon_A = 2.1$, $\epsilon_B = 0.1$ and $\chi^2/\text{d.o.f.} = 1.537$, $\chi^2_Z/\text{d.o.f.} = 1.587$, $\chi^2_M/\text{d.o.f.} = 1.486$. The form factor \tilde{X}_0 for this two sets are plot-

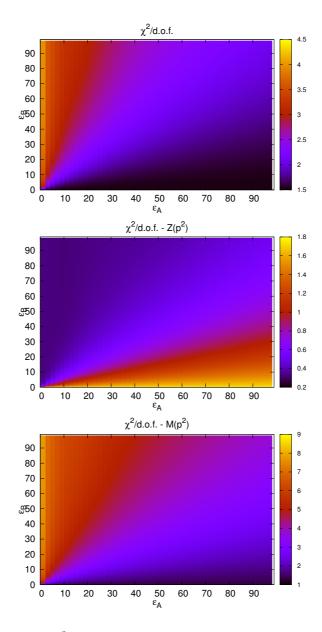


Figure 7. The ϵ_A/ϵ_B plane of $\chi^2/d.o.f.$ values obtained in the inversion process of the DSEs. The two lower graphs depict the results for $Z(p^2)$ and $M(p^2)$, whereas the upper graph describes the summed $\chi^2/d.o.f.$, see eqs. (4.10) to (4.12). All results are for $\Lambda = 5 \text{ GeV}$.

ted in figure 8. The corresponding quark wave function and running quark mass are given in figure 9. As these figures show, the results of the inversion are stable against variation of ϵ_A and ϵ_B and the curves are indistinguishable. However, in the deep IR domain, not depicted in figures 8 and 9, one observes a slight difference between the solutions.

The form factor $\tilde{X}_0(p^2)$ is a monotonous function with some structure around $p^2 \simeq 0.6 \text{ GeV}^2$, reaching a value of $\tilde{X}_0(p^2) \approx 2$ and increasing continuously from $p^2 \simeq 0.15 \text{ GeV}^2$ as one approaches zero momentum. The solution does not grow indefinitely in the IR and at $p^2 \approx 10^{-3} \text{ GeV}^2$ it reaches a plateau where $\tilde{X}_0 \simeq 17$. We do not plot \tilde{X}_0 in this deep IR

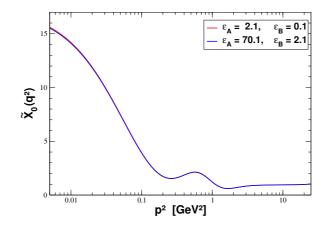


Figure 8. The form factor \tilde{X}_0 from inverting the DSE using two different linear regularizations. See text for details.

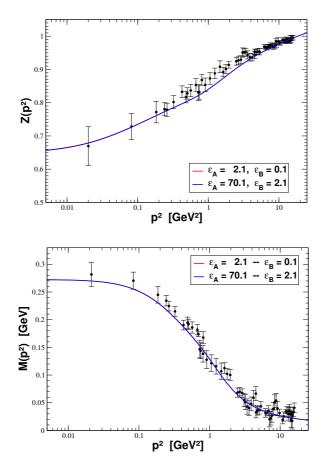


Figure 9. The quark wave function (upper plot) and quark mass function (lower plot) calculated with $\tilde{X}_0(p^2)$ (see figure 8) and the r.h.s. of the DSE, eqs. (2.41) and (2.42).

region where lattice data do not provide any information and whose contribution to the DSE is negligible. For momenta above $p^2 \simeq 1.1 \,\text{GeV}^2$, \tilde{X}_0 slightly increases and reaches its "perturbative" value at the cutoff, Λ .

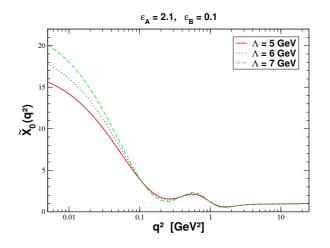


Figure 10. The effective form factor $\tilde{X}_0(q^2)$ for three values of the cutoff Λ .

The functional form of $\tilde{X}_0(p^2)$ in figure 8 is rather different from the form factor $X_0(q^2)$ computed using a one-loop dressed approximation; see figure 6 in ref. [68]. The latter form factor barely deviates from $X_0(p^2) = 1$ and takes on a single local maximum, $X_0(p^2) \simeq 1.25$ for $p^2 \simeq 0.12 \,\text{GeV}^2$. At larger momenta, $X_0(p^2)$ recovers the perturbative value and approaches one; similarly, the form factor reaches unity in the deep IR. Our form factors show a considerably richer structure, with a local maximum at $p^2 \simeq 0.5 \,\text{GeV}^2$ where $\tilde{X}_0 \simeq 2$, a local minimum at $p^2 \simeq 1.1 \,\text{GeV}^2$ ($X_0 \simeq 0.5$) and another minimum at $p^2 = 0.12 \,\text{GeV}^2$ ($X_0 \simeq 1.5$). An absolute maximum is located in the deep IR region, where we find $\tilde{X}_0 \simeq 17$. We would like to recall the reader that in order to generate the necessary DCSB in their DSE for the quark, the authors of ref. [68] had to replace $Z_c^{-1} \mathcal{K}_0(q^2) \to F(q^2) \mathcal{K}_0(q^2)$ (or equivalently $F(q^2) \to F^2(q^2)$) in the kernel to enhance its IR strength by as much as a factor of ~ 3 . The form factor $\tilde{X}_0(q^2)$ extracted from the inversion not only has a much richer structure but also enhances the quark-gluon interaction compared to the dressed one-loop approximation.

In figure 9, we compare the quark wave function and mass function obtained with $\tilde{X}_0(q^2)$ and the DSE. More precisely, we make use of $\tilde{X}_0(q^2)$, $A_{\text{Latt.}}(p^2)$ and $B_{\text{Latt.}}(p^2)$ and compute the integrals of the r.h.s. of eqs. (2.41) and (2.42) to evaluate $Z(p^2)$ and $M(p^2)$. On the overall, the DSE predictions follow the lattice data with s mall deviations in $Z(p^2)$ for momenta just above $\simeq 1 \text{ GeV}$ and similarly in $M(p^2)$ for $p^2 \simeq 0.2 \text{ GeV}^2$. Finally, in figures 10 and 11, we investigate how $\tilde{X}_0(p^2)$, $Z(p^2)$ and $M(p^2)$ depend on the cutoff, Λ , for $\epsilon_A = 2.1$ and $\epsilon_B = 0.1$. The \tilde{X}_0 solutions are characterized by $\chi^2/\text{d.o.f.} = 1.357$, $\chi^2_Z/\text{d.o.f.} = 1.388$, $\chi^2_M/\text{d.o.f.} = 1.325$ for $\Lambda = 6 \text{ GeV}$ and $\chi^2/\text{d.o.f.} = 1.259$, $\chi^2_Z/\text{d.o.f.} = 1.225$ for $\Lambda = 7 \text{ GeV}$.

As can be read from figures 10 and 11, the inversion is independent of the cutoff for momenta within the range of available lattice data for $Z(p^2)$ and $M(p^2)$. The variation of \tilde{X}_0 with Λ , relative to the mean value of the various estimates, is about 11% for $p^2 \leq$ 0.115 GeV², whereas for larger momenta it is below the 3% level. We remind that the lattice-regularized propagators cover a relative short momentum range and extending the

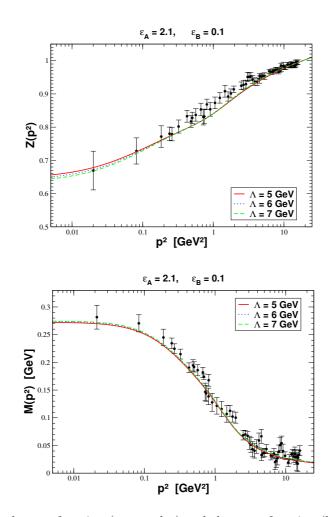


Figure 11. The quark wave function (upper plot) and the mass function (lower plot) for various cutoff values.

lattice fits to larger momenta, as required for larger cutoffs can introduce some bias on the results. Furthermore, as discussed in section 4.1.1, the IR region is much less constrained in the inversion process, therefore \tilde{X}_0 can differ considerably in this region without a significant change in the quark wave and mass function; this is clearly observed in figure 11. Nonetheless, our numerical checks demonstrate that, for a fixed cutoff, the inversion of the DSE for \tilde{X}_0 is stable and independent of the regulators of the linear system, namely ϵ_A and ϵ_B .

4.2 \tilde{X}_0 from the maximum entropy method

Another approach to determine $\tilde{X}_0(q^2)$ is to express the form factor in a given functional basis. We do so by writing $\tilde{X}_0(q^2)$ as a superposition of rectangular-like functions, $r_m(q^2)$,

$$\tilde{X}_0(q^2) = \sum_{m=1}^{M=47} x_m r_m(q^2), \qquad (4.13)$$

where for $k = 3 \,\mathrm{GeV}^{-1}$,

$$r_m(q^2) = \frac{1}{\left[1 + e^{-2k(q - q_m^{\min})}\right] \left[1 + e^{-2k(q_m^{\max} - q)}\right]},$$
(4.14)

and $q_m^{\max} = (q_{m+1} + q_m)/2$, $q_m^{\min} = (q_{m-1} + q_m)/2$. The sequence, $q_1 < q_2 < \cdots < q_M$, is a momentum partition of the integrand and x_m are free parameters. In the limit $k \to \infty$, the function $r_m(q)$ vanishes over the entire real line except in the intervals, $q \in [q_m^{\min}, q_m^{\max}]$, where it is unity. Inserting the expansion of eq. (4.13) into eqs. (2.41) and (2.42), the angular-momentum integration can be performed and we are left with $A(p^2)$ and $B(p^2)$ expressed as a linear superposition of x_m ,

$$A(p^2) = Z_2 + \sum_m x_m A_m(p^2), \qquad (4.15)$$

$$B(p^2) = Z_4 m(\mu) + \sum_m x_m B_m(p^2)$$
(4.16)

where B_m and A_m merely depend on the external momenta defined by the lattice simulation. The coefficients x_m can be determined via a χ^2 -fit to the lattice data points, $Z_{\text{Latt.}}(p_i^2)$ and $M_{\text{Latt.}}(p_i^2)$, i.e.

$$\chi_{A,B}^{2} = \sum_{i} \frac{\left| Z_{\text{Latt.}}(p_{i}^{2}) - Z(p_{i}^{2}, r_{m}, x_{m}) \right|^{2}}{\sigma_{Z}(p_{i}^{2})} + \sum_{i} \frac{\left| M_{\text{Latt.}}(p_{i}^{2}) - M(p_{i}^{2}, r_{m}, x_{m}) \right|^{2}}{\sigma_{M}(p_{i}^{2})}, \quad (4.17)$$

where a sum over *m* is implicit in the expressions for $Z(p_i^2, r_m, x_m)$ and $M(p_i^2, r_m, x_m)$. The statistical errors on $Z_{\text{Latt.}}$ and $M_{\text{Latt.}}$ are given by $\sigma_{Z,M}(p_i^2)$. With the renormalization condition in eq. (4.1), we obtain $Z_4 = 0.71$ which corresponds to $Z_4(\mu, \Lambda) m(\mu) = Z_2(\mu, \Lambda) m^{\text{bm}}(\Lambda) = 17.7 \text{ MeV}.$

As discussed in section 4.1.1, the DSE kernels are not invertible and to define a solution one must regularize the corresponding linear system. In this section, we choose an alternative route and consider the Maximum Entropy Method (MEM). With MEM, one introduces the *negative entropy* of the solution,

$$\lambda \sum_{m} \tilde{X}_0(r_m(q^2)) \log \tilde{X}_0(r_m(q^2)), \qquad (4.18)$$

to be added to the χ^2 function. We also find useful to add the term,

$$\lambda \sum_{m} x_m \log x_m \,, \tag{4.19}$$

owing to the fact that the x_m are the minimization parameters. The parameter λ is adjusted,

$$\chi^{2}(\lambda) = \chi^{2}_{A,B} + \lambda \sum_{m} \left\{ \tilde{X}_{0}(r_{m}(q^{2})) \log \tilde{X}_{0}(r_{m}(q^{2})) + x_{m} \log x_{m} \right\},$$
(4.20)

so that $\chi^2_{A,B} \sim N$, where N is the number of lattice-data points, which yields a smooth solution. Note the assumption is that \tilde{X}_0 and x_m are positive definite.

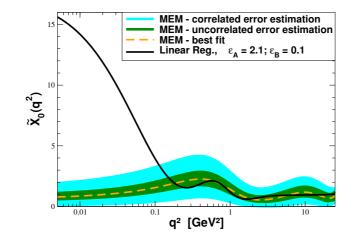


Figure 12. The effective form factors \tilde{X}_0 computed with the Maximum Entropy Method. The dashed curve refers to best fit to the enlarged data set. The green error band describes the root-mean-squared deviation, whereas the cyan-shaded area is the maximum uncertainty for \tilde{X}_0 assuming full correlation, see eq. (4.21) and (4.22). The prediction of the linear regularization method (see section 4.1) is given by the black solid curve.

From the lattice-quark propagator [21], we extract a total of 124 data points: 61 points for the mass function and 63 points for the quark wave function. The data set is enlarged by taking 7 momenta above 4 GeV exploiting the fit to the lattice data (see section 3.2) up to 5 GeV. The 47 parameters, x_m , are fitted to N = 138 data points while λ is adjusted, which yields $\chi^2 = 139.4$. We remind that within MEM the adjustment of λ does not necessarily provide the optimal $\chi^2/d.o.f$. Indeed, whilest $\chi^2/d.o.f. \simeq 1$ can be achieved in a best fit, there are numerous corresponding solutions for \tilde{X}_0 owing to the analytic structure of the kernels, see eqs. (2.44) and (2.45) and figure 4.

The \tilde{X}_0 for the best fit to the enlarged data set is depicted by the dashed curve in figure 12. Hereafter, we refer to this solution as the MEM-improved \tilde{X}_0 . If one assumes that the x_m are uncorrelated, i.e. the correlation matrix is given by $\rho = \delta_{mm'}$, then the uncertainty in \tilde{X}_0 is given by,

$$\sqrt{\sum_{mm'} \frac{\partial \tilde{X}_0}{\partial x_m} \rho_{mm'} \sigma_m \sigma_{m'} \frac{\partial \tilde{X}_0}{\partial x_{m'}}} = \sqrt{\sum_m \left(\frac{\partial \tilde{X}_0}{\partial x_m}\right)^2 \sigma_m^2}, \qquad (4.21)$$

where σ_m is the statistical error on x_m . This uncertainty defines the green error band in figure 12. On the other hand, if one assumes that all x_m are correlated, i.e. $\rho_{mm'} = 1$, the uncertainty in the form factor becomes,

$$\sqrt{\sum_{mm'} \frac{\partial \tilde{X}_0}{\partial x_m} \rho_{mm'} \sigma_m \sigma_{m'} \frac{\partial \tilde{X}_0}{\partial x_{m'}}} = \sum_m \frac{\partial \tilde{X}_0}{\partial x_m} \sigma_m \,. \tag{4.22}$$

which is represented by the cyan-shaded band in figure 12.

The two inversion methods discussed are different in nature and provide the same functional form of $\tilde{X}_0(q^2)$ for momenta above $q^2 \approx 0.1 \,\text{GeV}^2$. In the deep IR region, the

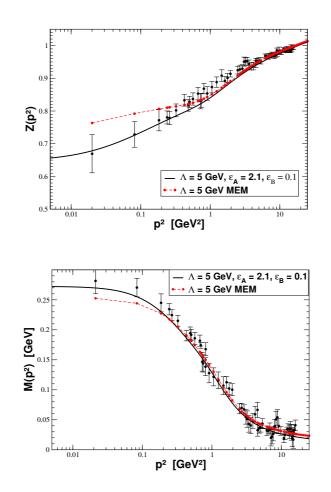


Figure 13. The quark wave function (upper plot) and mass function (lower plot) computed with the two regularization methods for a cutoff $\Lambda = 5$ GeV.

values for \tilde{X}_0 obtained with MEM and the chosen basis of eq. (4.13) lie considerably below those of the linearly regularized solution. The differences in the IR region are reflected in the quark wave and mass function, as becomes clear from figure 13, where the lattice data is also provided. While the running quark mass is less sensitive to the inversion method recall that it is given by the ratio $B(p^2)/A(p^2)$ — the quark wave function clearly reveals the infrared structure of the quark-gluon vertex below $q^2 \sim 0.1 \,\text{GeV}^2$. Our results suggest that $\tilde{X}_0(p^2)$ should be enhanced at very small momenta. Note that at the level of one standard deviation, neither method is able to reproduce the lattice for $Z(p^2)$ for p^2 in the range 1–4 GeV². The missing strength of the MEM solution in the low momenta region of \tilde{X}_0 can also be observed in the running quark mass compared to the linear regularized solution and the lattice data.

5 Closing the gap: solving the DSE with \tilde{X}_0

Let us now discuss the self-consistent solution of eqs. (2.41) and (2.42) for the dressed-quark propagator, i.e. for the functions $A(p^2)$ and $B(p^2)$, using the linear regularized solution (LRS) for \tilde{X}_0 obtained in section 4.1.3 and the MEM solution of section 4.2. We remind

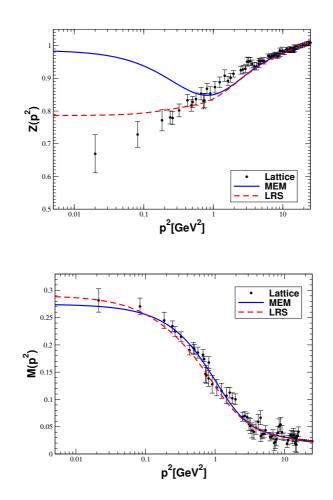


Figure 14. The quark wave function (upper plot) and mass function (lower plot) from solving the DSE, eqs. (2.41) and eq. (2.42), with $\tilde{X}_0(p^2)$ from both the LRS and MEM. The cutoff is $\Lambda = 5 \text{ GeV}$ and the lattice data is taken from ref. [21].

that in both cases the lattice gluon and ghost propagators, described in section 3.1, are inputs for the DSE.

The self-consistent quark functions $A(p^2)$ and $B(p^2)$ are computed imposing the renormalization conditions $B(\mu^2) = B_{\text{Latt.}}(\mu^2)$ and $A(\mu^2) = A_{\text{Latt.}}(\mu^2) = 1$. Consequently, the following renormalization constants, Z_2 and Z_4 , are found:

$$Z_4 = \begin{cases} 0.75 & \text{LRS} \\ 0.72 & \text{MEM} \end{cases} \quad \text{and} \quad Z_2 = \begin{cases} 0.938 & \text{LRS} \\ 0.936 & \text{MEM} \end{cases}.$$
(5.1)

The DSE solutions are show in figure 14 for the kernels computed with X_0 from LRS and MEM. The mass function provided by the two solutions is of about the same quality. However, the quark wave function shows large deviations with respect to the lattice data in the IR. The difference between the two quark functions, $Z(p^2)$ and $M(p^2)$, can be understood as follows: altering $A(p^2)$ and $B(p^2)$ simultaneously and similarly in the IR, the ratio $M(p^2) = B(p^2)/A(p^2)$ remains less sensitive to the approximations in our theoretical framework. Concerning the quark wave function, it is well known that this quantity is rather sensitive to the different DSE truncations in the IR — see, e.g., figure 4.13 in ref. [80]. Our solutions of the DSE are also in qualitative agreement with those of ref. [68].

The difference in $Z(p^2)$ for $p^2 \leq 0.7 \,\text{GeV}^2$, reported in figure 14, is due to the distinct behavior of the form factors $\tilde{X}_0(q^2)$ of the two methods, which is maximal in the low momenta region. The LRS enhances significantly the infrared quark-gluon vertex producing a quark wave function closer to the lattice points.

The departure from the lattice data of the DSE solutions is a direct consequence of all approximations and assumptions employed, namely the non-Abelian form of the Ball-Chiu vertex and kinematic simplifications in the definition of $\tilde{X}_0(q^2)$. More precisely, the calculation does not take into account the transverse components of the quark-gluon vertex, the form factors X_1, X_2, X_3 and the full kinematical dependence of $X_0(p_1, p_2, p_3) \approx X_0(q^2)$, where $q = p_3$ is the gluon momentum in the quark-gluon vertex. In this sense, it is surprising that the approximation is able to qualitatively reproduce the lattice results.

6 Summary

In this work, we investigated the quark-gluon vertex in the IR region combining two nonperturbative QCD techniques: DSEs are employed along with lattice simulations to extract information on this fundamental QCD vertex.

The general structure of the dressed quark-gluon vertex includes twelve independent form factors. We use an ansatz compatible with the STI of eq. (2.27) which does not take into account the transverse components of the vertex. This ansatz has a generalized Ball-Chiu form, parameterized in terms of four forms factors, X_i , and the ghost dressing function, $F(p^2)$. The X_i are associated with the tensor structure of the quark-ghost scattering kernel. The model assumes that X_0 , the dominant form factor in the perturbative solution of QCD, provides the main contribution to the vertex and the drastic approximations, $X_0 \approx X_0(q^2)$ and $X_1 = X_2 = X_3 = 0$ are made, where q is the gluon four-momentum of the quark-gluon vertex.

We considered the DSE using the lattice dressed-quark, -gluon and -ghost propagators as inputs to extract $X_0(q^2)$ which includes contributions from X_i , i = 1, 2, 3, as well as from the transverse components of the vertex. Our result should be interpreted as an effective form factor, $\tilde{X}_0(q^2)$, rather than the X_0 of the quark-ghost scattering kernel. The kernels of the integral equations that contain \tilde{X}_0 are not invertible. Indeed, they have small or vanishing eigenvalues. This problem is overcome by regularizing the DSE, now transformed into a linear system of equations. In order to extract \tilde{X}_0 , we applied two distinct and independent methods. Our first approach to the inversion of the gap equation is expressed via a linear regularized equation solved by iteration. The second approach is based on a decomposition of \tilde{X}_0 in terms of a functional basis whose parameters, x_m , are adjusted to reproduce the lattice-regularized QCD results for $M(p^2)$ and $Z(p^2)$ via a χ^2 -fit combined with the Maximum Entropy Method.

The two methods produce X_0 form factors compatible with each other for the range of momenta where lattice-simulation data is available, i.e. in the domain $\simeq 0.3-4$ GeV. For momenta in the range 200 MeV–1 GeV, both regularizations feature a strong enhancement of the generalized Ball-Chiu vertex, as expected in order to generate the DCSB observed in the lattice mass function. However, for momenta below ~ 300 MeV, the \tilde{X}_0 obtained with the linear regularization is characterized by a much stronger enhancement in comparison with the MEM result which essentially reproduces the Ball-Chiu vertex, i.e. $\tilde{X}_0 \approx 1$ at such low momenta. For large enough momenta, both methods recover the perturbative value, $\tilde{X}_0 \approx 1$.

The respective $\tilde{X}_0(q^2)$ are inserted in the r.h.s. of the DSE, along with the lattice quark, gluon and ghost propagators, to numerically evaluate the integrals for $Z(p^2)$ and $M(p^2)$. The latter are then compared to the corresponding lattice data. It turns out that the quark mass function does not distinguish between the two solutions, while the wave function favors the strong enhancement of \tilde{X}_0 found, for very small momenta, in the linear regularized solution of the DSE.

The self-consistent solution of the quark DSE, using a kernel built upon the generalized Ball-Chiu vertex with $\tilde{X}_0(p^2)$ and the lattice gluon and ghost propagators, provides the required DCSB to adequately describe the lattice mass function of ref. [21]. However, it fails to reproduce the lattice quark wave function for momenta below ~ 700 MeV. We interpret this deviation as due to (i) the lack of any significant constraint from the lattice data in the deep IR; (ii) the negligible contribution of the deep infrared momenta to the quark gap equation; and, most importantly, (iii) the approximations and assumptions employed in solving the integral equations (lack of transverse components, $X_i \approx 0$ for i = 1, 2, 3 and kinematic simplifications). In what concerns the contributions of the infrared momenta to the gap equation, recall that for practical purposes one may ignore contributions from $\tilde{X}_0(q^2)$ for $q^2 \leq 0.1 \text{ GeV}^2$ in the DSE and still obtain the same solutions of eqs. (2.41) and (2.42). Contemporary hadron physics [45, 81] also instructs us that the contribution from the deep IR domain in the quark DSE kernel has little impact on relevant hadron observables, such as meson and nucleon electromagnetic form factors.

In short, we have made use of two independent methods which correlate $X_0(q^2)$ with the dressed-quark functions, $M_{\text{Latt.}}(p^2)$ and $Z_{\text{Latt.}}(p^2)$, via a DSE kernel built upon the quark-gluon vertex of eqs. (2.36)–(2.39) and the quenched gluon and ghost propagators from lattice-QCD simulations. The form factor \tilde{X}_0 enhances the quark-gluon vertex in the IR region and yields $M(0) \simeq 280 \text{ MeV}$. This is in contrast with the standard Ball-Chiu ansatz which is equivalent to setting $\tilde{X}_0 = 1$ and does not produce sufficient DCSB if one employs a lattice-regularized dressed gluon propagator. Our functional expression for $\tilde{X}_0(q^2)$ along with the generalized Ball-Chiu vertex provide an effective work tool for quark DSE applications in hadron physics. We postpone to a future work the full and consistent calculation of all form factors of the quark-ghost scattering kernel, $H(p_1, p_2, p_3)$, augmented by the minimal ansatz for the transverse vertex recently put forward by Qin et al. [58].

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